

07-06-06

A

PATENT
514413-3886

(Attorney Docket No.)

Patent Application Transmittal
(only for new nonprovisional applications
under 37 C.F.R. 1.53(b))
Correspondence Address:
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800
Fax: (212) 588-0500

1c868 U.S. PTO
09/899718
10/05/01

Date: July 5, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application
for patent in the names of:

Dr. Stefanie Sprunck, et al.

entitled: **PROMOTERS FOR GENE EXPRESSION IN CARYOPSES OF PLANTS**

_____ This is an application of a small entity under 37 CFR 1.9(f) and the amounts
shown in parentheses below have been employed in calculating the fee.

_____ Small Entity Verified Statement is enclosed.

_____ Small Entity Verified Statement filed in prior application, status still proper and
desired.

The following are enclosed:

 X Specification (42 pages)

_____ Sheet(s) of Drawings

 X 16 Claims (including 1 independent claim)

_____ This application contains multiple dependent claim(s).

_____ Preliminary Amendment

 X Sequence Listing (4 pages)

1c355 U.S. PTO
07/05/01

09899718-070501

Attorney Docket No. 514413-3886

New U.S. Application filed **July 5, 2001** for application entitled:

PROMOTERS FOR GENE EXPRESSION IN CARYOPSES OF PLANTS

corresponding to German Appln. No. 100 32 379.0 filed July 6, 2000 and

German Appln. No. 100 41 861.9 filed August 26, 2000

Express Mail No.: EL742691368US

Date of Deposit: July 5, 2001

I hereby certify that this application and the accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.



105020" 9T 266860

INVENTOR

Full name of 1st co-inventor: Dr. Stefanie Sprunck
Residence: Straßweg 5
22607 Hamburg, Germany
Post Office: _____
Citizenship: Germany

Full name of 2nd co-inventor: Antje Kluth
Residence: Dithmarscher Straße 41
22049 Hamburg, Germany
Post Office: _____
Citizenship: Germany

Full name of 3rd co-inventor: Dr. Dirk Becker
Residence: Bergheide 56a
21149 Hamburg, Germany
Post Office: _____
Citizenship: Germany

Full name of 4th co-inventor: Dr. Stephanie Luetticke
Residence: Lange Reihe 22
20099 Hamburg, Germany
Post Office: _____
Citizenship: Germany

Full name of 5th co-inventor: Prof. Dr. Horst Loerz
Residence: Ramckeweg 6a
22589 Hamburg, Germany
Post Office: _____
Citizenship: Germany

105070-070501

X Our check totaling \$ 710.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee	
\$710.00 (355.00)	710.00
Total Number of Claims in excess of 20 at \$18.00 (9.00) each	0.00
Number of Independent Claims in excess of 3 at \$80.00 (40.00) each	0.00
Multiple Dependent Claim Fee at \$270.00 (135.00)	0.00
Total Filing Fee	710.00
Assignment Recording Fee \$40.00	0.00

 X Oath or Declaration and Power of Attorney **to follow**

 New signed unsigned

 Copy from prior application (37 C.F.R. 1.63(d))

 X Certified copy of the following application to substantiate the claim for priority made in the Declaration:

German application no. 100 32 379.0 filed July 6, 2000 - **to follow**

German application no. 100 41 861.9 filed August 26, 2000 - **enclosed**

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By:



William F. Lawrence

Reg. No. 28,029

Tel. (212) 588-0800

09899718-070501
T05020" 87266860